



INFORMATION REPORT

TO:	Chair and Members Audit, Finance and Administration Committee
COMMITTEE DATE:	September 14, 2015
SUBJECT/REPORT NO:	Report AUD15029 - Whistleblower Information Update for Q1 2015 and Q2 2015 (City Wide)
WARD(S) AFFECTED:	City Wide
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SIGNATURE:	

Council Direction:

By-law 09-227 (Whistleblower By-law), Section 19 – Responsibility of the Director of Audit Services requires a quarterly report for, in the aggregate, on the number, nature and outcome of disclosures of serious wrongdoing made under this By-law.

Information:

A standard process has been implemented by the Audit Services Division for both the implementation of forms, procedures and document storage relating to the administration of the Whistleblower By-law.

This Information Report contains information about the number, nature and outcome of disclosures relating to By-law 09-227 for Q1 2015 and Q2 2015 (January-June 2015).

Number and Nature of Disclosures:

Categories:	Staff Inquiry	Whistleblower	External Inquiry	Total Intake
Q1 (Jan.-Mar.)	0	0	1	1
Q2 (Apr.-Jun.)	1	1	0	2

Total Intake Volume in Q1 2015 and Q2 2015 (January - June): 3

Outcomes:

Q1: One external inquiry resulted in an investigation by the Audit Services Division. The findings and recommendations were shared with the relevant Division.

Q2: One inquiry was made without a Whistleblower from being submitted (this inquiry is considered closed) and one item qualified as a disclosure per the By-law and a subsequent referral for investigation was made to management and was resolved satisfactorily.