



INFORMATION REPORT

TO:	Chair and Members Audit, Finance and Administration Committee
COMMITTEE DATE:	October 13, 2015
SUBJECT/REPORT NO:	Report AUD15030 – Whistleblower Summary for 2010-2014 (City Wide)
WARD(S) AFFECTED:	City Wide
PREPARED BY:	Brigitte Minard 905-546-2424 x2088
SUBMITTED BY:	Brigitte Minard CPA, CA, CIA, CGAP Acting Director, Audit Services City Manager's Office
SIGNATURE:	

Council Direction:

The Audit, Finance and Administration Committee directed that an outline of 2010-2014 historical data respecting Report AUD15029 be provided at the October 13, 2015 Audit, Finance & Administration Committee meeting.

Information:

This Information Report contains information about the number and nature of disclosures relating to By-law 09-227 for the years 2010, 2011, 2012, 2013, and 2014. The Director of Audit Services is responsible for the intake and administration of the Whistleblower By-law (No. 09-227). The criteria to define a “Whistleblower” event is quite narrow, the categories of “Staff Inquiry” and “External Inquiry” are included to provide context about the volume of intake, even if an inquiry does not qualify as a disclosure per the By-law.

Number and Nature of Disclosures:

Categories:	Staff Inquiry	Whistleblower	External Inquiry	Total Intake
Year:				
2010	0	2	1	3
2011	1	2	0	3
2012	1	1	1	3
2013	2	2	0	4
2014	3	2	0	5
Total	7	9	2	18

Whistleblower intake is not predictable. All intakes are assigned a case number and documented. Further investigation, follow up and referral to other internal and external resources is performed as needed.