



INFORMATION REPORT

TO:	Chair and Members Audit, Finance and Administration Committee
COMMITTEE DATE:	August 16, 2017
SUBJECT/REPORT NO:	Whistleblower Information Update for Q2 2017 (AUD17018) (City Wide)
WARD(S) AFFECTED:	City Wide
PREPARED BY:	Charles Brown CPA, CA, CPA (Illinois) 905-546-2424 x4469
SUBMITTED BY:	Charles Brown CPA, CA, CPA (Illinois) Director, Audit Services City Manager's Office
SIGNATURE:	

Council Direction:

By-law 09-227 (Whistleblower By-law), Section 19 – Responsibility of the Director of Audit Services requires a quarterly report for, in the aggregate, on the number, nature and outcome of disclosures of serious wrongdoing made under this By-law.

Information:

A standard process has been implemented by the Audit Services Division for the implementation of forms, procedures and document storage relating to the administration of the Whistleblower By-law.

This Information Report contains information about the number, nature and outcome of disclosures relating to By-law 09-227 for Q2 2017 (April – June 2017) along with historical information.

Number and Nature of Disclosures in 2017

Timeline	Categories			Total Intake
	Staff Inquiry	Whistleblower	External Inquiry	
Q1 (Jan. – Mar.)	0	0	0	0
Q2 (Apr. – Jun.)	0	0	1	1

Total Intake Volume in 2017 (January – June): 1

OUR Vision: To be the best place to raise a child and age successfully.

OUR Mission: To provide high quality cost conscious public services that contribute to a healthy, safe and prosperous community, in a sustainable manner.

OUR Culture: Collective Ownership, Steadfast Integrity, Courageous Change, Sensational Service, Engaged Empowered Employees.

SUBJECT: Whistleblower Information Update for Q2 2017 (AUD17018) (City Wide)
– Page 2 of 2

Nature and Outcomes

Q2: One external inquiry item was received and assessed in Q2 2017. Also, the investigation continued on the whistleblower item received and assessed in Q3 2016 and planned in Q4 2016.

Number and Nature of Disclosures from 2010 – 2017

Year	Categories			Total Intake
	Staff Inquiry	Whistleblower	External Inquiry	
2010	0	2	1	3
2011	1	2	0	3
2012	1	1	1	3
2013	2	2	0	4
2014	3	2	0	5
2015	1	1	1	3
2016	1	3	1	5
2017 (to June 30)	0	0	1	1
Total	9	13	4	27

The total volume from 2010 – 2017 (to June 30) for Whistleblower Intake activity was 27 items. These sustained low volumes for an organization the size of the City of Hamilton, with almost 8,000 employees, in comparison with other cities may indicate a need for further action to ensure employees are comfortable with reporting concerns. It is Audit Services' intention to investigate options for anonymous reporting during the current year.