



# INFORMATION REPORT

<b>TO:</b>	Chair and Members Audit, Finance and Administration Committee
<b>COMMITTEE DATE:</b>	April 23, 2018
<b>SUBJECT/REPORT NO:</b>	Whistleblower Information Update for Q1 2018 (AUD18002) (City Wide)
<b>WARD(S) AFFECTED:</b>	City Wide
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<b>SIGNATURE:</b>	

**Council Direction:**

By-law 09-227 (Whistleblower By-law), Section 19 – Responsibility of the Director of Audit Services requires a quarterly report for, in the aggregate, on the number, nature and outcome of disclosures of serious wrongdoing made under this By-law.

**Information:**

A standard process has been implemented by the Audit Services Division for the implementation of forms, procedures and document storage relating to the administration of the Whistleblower By-law.

This Information Report contains information about the number, nature and outcome of disclosures relating to By-law 09-227 for Q1 2018 (January – March 2018) along with historical information.

**Number and Nature of Disclosures in 2018**

Timeline	Categories			Total Intake
	Staff Inquiry	Whistleblower	External Inquiry	
Q1 (Jan. – Mar.)	0	0	0	0

Total Intake Volume in 2018 (January – March): 0

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Nature and Outcomes

Q1: There was no intake activity in Q1 2018. The internal inquiry item received in Q4 2017 was closed in Q1 2018. Audit Services was satisfied with the actions taken by departmental management to rectify the situation.

Number and Nature of Disclosures from 2010 – 2018

Year	Categories			Total Intake
	Staff Inquiry	Whistleblower	External Inquiry	
2010	0	2	1	3
2011	1	2	0	3
2012	1	1	1	3
2013	2	2	0	4
2014	3	2	0	5
2015	1	1	1	3
2016	1	3	1	5
2017	1	0	1	2
2018 (to March 31)	0	0	0	0
Total	10	13	5	28

The total volume from 2010 – 2018 (to March 31) for Whistleblower Intake activity was 28 items. These sustained low volumes for an organization the size of the City of Hamilton, with almost 8,000 employees, in comparison with other cities may indicate a need for further action to ensure employees are comfortable with reporting concerns.