

**Biggs, Carolyn**

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**From:** Joey Coleman |  
**Sent:** Monday, April 29, 2013 7:49 AM  
**To:** Biggs, Carolyn  
**Cc:** Farr, Jason  
**Subject:** Delegation Request

Ms. Biggs,

I'd like to present myself as a public delegation to the May 15, 2013 GIC meeting in regards to the City's Accountability and Transparency Policy (<http://www.hamilton.ca/NR/rdonlyres/C1FB4D4A-7BFB-4E5A-8025-D52E2803804D/0/accountabilityandtransparencypolicy.pdf>) to suggest improvements from a citizens perspective to the policy.

Thank you for your consideration of the matter,

Joey Coleman  
Citizen - Ward 2 (Beasley)

29/04/2013

## ACCOUNTABILITY AND TRANSPARENCY POLICY

### POLICY STATEMENT:

A policy to establish the manner in which the City of Hamilton will try to ensure that it is accountable to the public for its actions, and the manner in which the City of Hamilton will try to ensure that its actions are transparent to the public, as required by the *Municipal Act, 2001*.

### APPLICATION:

This policy applies to City Council, Standing Committees, and Subcommittees of Council and City staff.

### PURPOSE:

The *Municipal Act, 2001* requires that all municipalities adopt and maintain a policy with respect to the manner in which the municipality will try to ensure that it is accountable to the public for its actions, and the manner in which the municipality will try to ensure that its actions are transparent to the public. The purpose of this policy is to provide guidance in achieving these objectives.

### DEFINITIONS:

In this policy, the terms "accountability" and "transparency" shall have the following meanings:

**Accountability** – The principle that the City will be responsible to its constituents for the decisions it makes and policies it implements, as well as its actions or inactions.

**Constituents** – The individuals who reside in the City of Hamilton and businesses, institutions, and other entities which have premises in the City of Hamilton.

**Transparency** – The principle that the City's decision making process should be open and accessible to the public. Also, that the City ought to actively encourage and foster public access to and participation in its decision making process.

## DESCRIPTION:

The City of Hamilton acknowledges that it is responsible for providing good government with respect to matters within its jurisdiction in an accountable and transparent manner by:

- facilitating public access to information about the City's services and programs, and encouraging public participation to ensure that the City's decision making process is conducted openly and in a manner that is responsive to the needs of constituents and receptive to their opinions
- delivering high quality services to constituents and actively seeking input for enhancing service delivery and achieving best practices
- promoting the efficient and effective use of public resources in a manner that permits constituents the opportunity to evaluate the City's performance in this regard

The City of Hamilton has enacted policies and implemented practices that contribute to creating an accountable and transparent municipal organization. Specific policies and practices are identified below. The City will continue to support accountability and transparency in its future initiatives.

### 1. Financial Matters

The City will be accountable and transparent to its constituents and other affected parties in its financial dealings. Practices and procedures supporting this principle include the following:

- a) *internal audits*: The City's Internal Auditor conducts independent assessments to evaluate risk and monitors compliance with purchasing and other procedures. The Auditor reports directly to the Audit and Administration Committee in public session, except where the matters touched upon require consideration in a closed meeting.
- b) *external audits*: The City complies with the statutory requirement to appoint an auditor who conducts an annual independent audit and reports on financial statements. The auditor's report is available to the public.
- c) *budget process*: The City has adopted a budget process that provides opportunities for public consultation and input prior to approval of the annual budget. The City provides public quarterly reporting on budget variances. The City publishes an Annual Report, including its financial statements.

- d) *procurement policy*: The City's purchasing policy and procedures establish a mandatory process for the purchase of goods and services in order to ensure transparency and competitive procurement. Delegated authority to staff is subject to clear limits and is monitored through regular reporting to Council and internal audits.
- e) *sale of land*: The City's sale of land policy requires that property be declared surplus to the City's needs and be subject to a market value appraisal prior to being offered for sale

## 2. Members of Council

- a) *code of conduct*: Members of Council are subject to a code of conduct contained within the Procedural By-law and the City has established a Subcommittee to make recommendations regarding the content and enforcement of this Code of Conduct.
- b) *integrity commissioner*: The City of Hamilton has approved, in principle, the establishment of an Integrity Commissioner pursuant to the *Municipal Act, 2001* and has established a Subcommittee to make recommendations regarding the creation of that office.

## 3. Administrative Management

The City's administrative practices work to ensure accountability on the part of its employees through the following initiatives:

- a) *code of conduct*: City staff are subject to a code of conduct governing financial responsibilities and ethical behaviour. Managers are responsible for ensuring compliance with the code of conduct.
- b) *hiring policy*: The City has adopted a hiring policy under the *Municipal Act, 2001* which provides for a fair and transparent process and includes provisions governing hiring of relatives.
- c) *delegated authority*: Where matters have been delegated to staff, such authority is given within clear limits and Council retains the right to hold its delegates responsible for their actions. This ensures that decisions affecting interested parties will not be made without accountability.

- d) *operational reviews*: The City conducts operational reviews of City Departments or Divisions to ensure that it is attaining operational efficiency and effectiveness and in pursuit of best practices in service delivery.
- e) *human resource policies*: The City has adopted a series of Human Resource Policies governing its employees, designed and intended to promote accountability of City employees in their workplace conduct. Managers are responsible for ensuring compliance with these policies.

#### 4. Public Participation

The goal of the City of Hamilton is to ensure that as much as possible meetings of its Council, Standing Committees, and Subcommittees of Council are conducted in open and public session. There will, however, be occasions when it is necessary and/or prudent to conduct part or all of a meeting in closed session, and on those occasions the City will comply with the requirements of the Procedural By-law, the *Municipal Act, 2001*, and such other legislation as may be applicable.

The City facilitates public involvement in its activities by ensuring adequate notice and relevant information are provided to the public of all meetings and public information sessions. The City has adopted the Public Notice Policy under the *Municipal Act, 2001*, which demonstrates this commitment to transparency.

The City facilitates public involvement in its activities by providing in its Procedural By-law for public delegations to appear before Standing Committees of Council.

The City facilitates public involvement in its activities by providing for and hosting a variety of public meetings, open houses, public workshops and public presentations to inform constituents of initiatives being considered by the City.